

"PATENT"

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Polymerization Process

the specification of which is attached hereto unless the following box is checked:

[X] was filed on December 4, 2000 as Application Serial No. or PCT International Application No. 09/729,453
and was amended on N/A (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate(s), or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate(s), or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

| | | | | |
|---|--|---|---------------------------------|--------------------------------|
| <u> </u> (Number) | <u> </u> (Country) | <u> </u> (Day/Month/Year Filed) | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <u> </u> (Number) | <u> </u> (Country) | <u> </u> (Day/Month/Year Filed) | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

I hereby claim the benefit under 35 U.S.C. § 119(e)(1)-(2) of any United States provisional application(s) listed below.

| | |
|---|--|
| <u> </u> (Application Number) | <u> </u> (Filing Date) |
| <u> </u> (Application Number) | <u> </u> (Filing Date) |

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

| | | |
|---|--|--|
| <u> </u> (Application Serial No.) | <u> </u> (Filing Date) | <u> </u> (Status - patented, pending, abandoned) |
| <u> </u> (Application Serial No.) | <u> </u> (Filing Date) | <u> </u> (Status - patented, pending, abandoned) |

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

| NAMES | REGISTRATION NUMBERS |
|------------------|----------------------|
| Jaimes Sher | 34,726 |
| Lisa Kimes Jones | 41,878 |
| Raul R. Montes | 32,545 |
| Darrell Warner | 36,046 |

| SEND CORRESPONDENCE TO: | DIRECT TELEPHONE CALLS TO: |
|---|---|
| Univation Technologies LLC 5555 San Felipe, Suite 1950 Houston, Texas 77056 | (Name and Telephone Number) Lisa Kimes Jones Phone No.: (713) 892-3729 Facsimile No.: (713) 892-3687 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | |
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| FULL NAME OF INVENTOR | LAST NAME MAWSON | FIRST NAME SIMON | MIDDLE NAME |
| RESIDENCE & CITIZENSHIP | CITY CHARLESTON | STATE OR FOREIGN COUNTRY WEST VIRGINIA | COUNTRY OF CITIZENSHIP United States of America |
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Inventor's signature

Simon Mawson

Date

2/22/2001

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Inventor's signature

Sun Chueh Kao

Date

Feb. 13, 2001

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Inventor's signature

Tae Hoon Kwalk

Date

Feb 13, 2001

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Inventor's signature

Timothy Roger Lynn

Date

2-14-01

| | | | | |
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| FULL NAME OF INVENTOR | LAST NAME MCCONVILLE | FIRST NAME DAVID | MIDDLE NAME H. | |
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Inventor's signature

David McConnell

Date

3/30/01

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Inventor's signature

Matthew Gary McKee

Date

2/22/01

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Inventor's signature

John Francis Szul

Date

2/23/01

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Inventor's signature Kersten Anne TerryDate 2-23-01

| | | | |
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Inventor's signature Timothy WenzelDate 2/28/01

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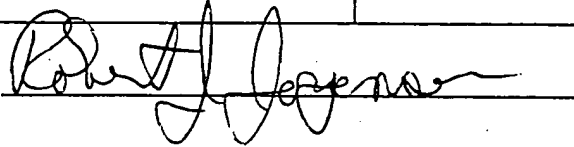
Inventor's signature Mark Gregory GoodeDate 4/3/01

| | | | |
|----------------------------|--------------------------------------|--|---|
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Inventor's signature John H. OskamDate 2/14/01

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Inventor's signature

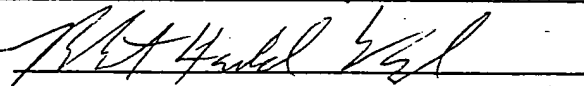


Date

02-14-01

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| FULL NAME OF INVENTOR | LAST NAME VOGEL | FIRST NAME ROBERT | MIDDLE NAME HAROLD | |
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| POST OFFICE ADDRESS | POST OFFICE ADDRESS 12 COUNTRY CLUB ROAD | CITY RINGOES | STATE OR COUNTRY NEW JERSEY | ZIP CODE 08851 |

Inventor's signature



Date

02/13/01



20006042-05

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 26, 2001

PTAS



101680937A

DATE DOCKETED

7/2/2001

By CLAK

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/02/2001

REEL/FRAME: 011688/0737
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MAWSON, SIMON

DOC DATE: 02/12/2001

ASSIGNOR:

KAO, SUN-CHUEH

DOC DATE: 02/13/2001

ASSIGNOR:

KWALK, TAE HOON

DOC DATE: 02/14/2001

ASSIGNOR:

LYNN, TIMOTHY R.

DOC DATE: 02/22/2001

ASSIGNOR:

MCCONVILLE, DAVID H.

DOC DATE: 02/23/2001

ASSIGNOR:

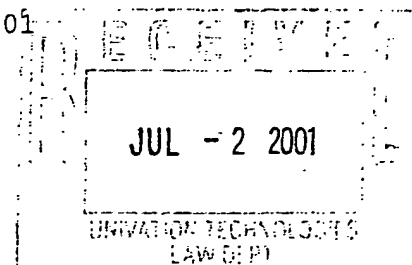
MCKEE, MATTHEW G.

DOC DATE: 02/28/2001

ASSIGNOR:

SZUL, JOHN F.

DOC DATE: 03/08/2001



ASSIGNOR:
TERRY, KERSTEN ANNE

DOC DATE: 03/08/2001

ASSIGNOR:
WENZEL, TIMOTHY T.

DOC DATE: 03/08/2001

ASSIGNOR:
OSKAM, JOHN H.

DOC DATE: 03/08/2001

ASSIGNOR:
JORGENSEN, ROBERT J.

DOC DATE: 03/08/2001

ASSIGNOR:
VOGEL, ROBERT H.

DOC DATE: 03/08/2001

ASSIGNEE:
UNIVATION TECHNOLOGIES, LLC
5555 SAN FELIPE, SUITE 1950
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SERIAL NUMBER: 09729453
PATENT NUMBER:

FILING DATE: 12/04/2000
ISSUE DATE:

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

04-20-2001



101680937

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Simon Mawson, Sun-Chueh Kao, Tae Hoon Kwak
Timothy R. Lynn, David H. McConville, Matthew G.
McKee, John F. Szul, Kersten Anne Terry, Timothy T.
Wenzel, Mark G. Goode, John H. Oskam, Robert J.
Jorgensen, Robert H. Vogel

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: ~~Univation Technologies, LLC~~

Street Address: 5555 San Felipe, Suite 1950

City: Houston State: Texas ZIP: 77056

APR - 2 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: February 12, 2001, February 13,
2001, February 14, 2001, February 22, 2001, February
23, 2001, February 28, 2001, and March 8, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
09/729,453

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Lisa Kimes Jones
Internal Address: Univation Technologies LLC.
Mailing Address: 5555 San Felipe, Suite 1950
City: Houston State: Texas ZIP: 77056

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 1.41): \$40.00

☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0589

04/11/2001 DDYRHE 00000105 500589 02720452

01 FEB:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true
Copy of the original document.

Lisa Kimes Jones
Name of Person Signing

Signature

March 26, 2001
Date

Total number of pages including cover sheet, attachments, and document: [5]

Mail documents to be recorded with required cover sheet information to:

COMMISSIONER OF PATENTS AND TRADEMARKS
BOX ASSIGNMENT
WASHINGTON DC 20231

ASSIGNMENT

INVENTOR or INVENTORS:

David H. McConville

In consideration of One Thousand Dollars (\$1000.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

1) UNDERSIGNED hereby assign(s) to Univation Technologies, LLC, a Delaware company, its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

POLYMERIZATION PROCESS

said application having been executed on the 12th day(s) of February 2001, and being further identified as Case No. 2000U042.US, U.S.S.N. 09/729,453, filed December 4, 2000; and all rights of priority created by said application under provisions of international conventions or treaties.

2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.

3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.

4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.

5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE

2/12/01

(LS)

David H. McConville, Inventor



Witness

2/12/01

2

2/12/01

ASSIGNMENT

INVNTOR or INVENTORS:

| |
|---------------------|
| Simon Mawson |
| Tae Hoon Kwak |
| Matthew G. McKee |
| Kersten A. Terry |
| Mark G. Goode |
| Robert J. Jorgensen |

| |
|-------------------|
| Sun-Chueh Kao |
| Timothy R. Lynn |
| John F. Szul |
| Timothy T. Wenzel |
| John H. Oskam |
| Robert H. Vogel |

For good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

1) UNDERSIGNED hereby assign(s) to Univation Technologies, LLC, a Delaware company, its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

Polymerization Process

said application having been executed on the ^{8th, 12th, 13th, 14th} ~~22nd, 23rd, 29th~~ day(s) of February & March, 2001, and being further identified as Case No. 2000U042.US, U.S.S.N. 09/729,453, filed December 04, 2000; and all rights of priority created by said application under provisions of international conventions or treaties.

2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.

3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.

4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.

5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application, as well as the filing date and application number thereof when known.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S

NAME.

DATE

2/22/2001Simon Mawson

(LS)

Simon Mawson

Joan Elta Cox
Witness

DATE

Feb. 13, 2001Sun-Chueh Kao

(LS)

Sun-Chueh Kao

Barbara Miller
Witness

DATE Feb 13, 2001 Tae Hoon Kwak (LS)
Tae Hoon Kwak
Barbara Muller
Witness

DATE Feb. 14, 2001 Timothy R. Lynn (LS)
Timothy R. Lynn
Barbara Muller
Witness

DATE 2/12/01 Matthew G. McKee (LS)
Matthew G. McKee
Joon Etta Lox
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DATE 2/23/01 John F. Szul (LS)
John F. Szul
Joon Etta Lox
Witness

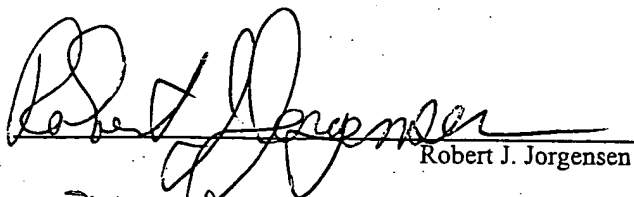
DATE 2/23/01 Kersten A. Terry (LS)
Kersten A. Terry
Joon Etta Lox
Witness

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Timothy T. Wenzel
Joon Etta Lox
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DATE 3/8/01 Mark G. Goode (LS)
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Joon Etta Lox
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DATE 2/14/01 John H. Oskam (LS)
John H. Oskam
Barbara Muller
Witness

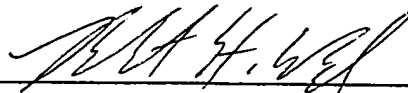
DATE

02/14/01
Robert J. Jorgensen

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Barbara Muller
Witness

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02/13/01
Robert H. Vogel

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Barbara Muller
Witness